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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

2

Application Number

10/023,298

Filing Date

12/17/2001

First Named Inventor

Martin P. Madden

Art Unit

1762

Examiner Name

Alain Bashore

Attorney Docket Number

2815CON

### ENCLOSURES (Check all that apply)

☐

Fee Transmittal Form

☐

Fee Attached

☐

Amendment/Reply

☐

After Final

☐

Affidavits/declaration(s)

☐

Extension of Time Request

☐

Express Abandonment Request

☐

Information Disclosure Statement

☐

Certified Copy of Priority Document(s)

☐

Reply to Missing Parts/  
Incomplete Application

☐

Reply to Missing Parts  
under 37 CFR 1.52 or 1.53

☐

Drawing(s)

☐

Licensing-related Papers

☐

Petition

☐

Petition to Convert to a  
Provisional Application

☐

Power of Attorney, Revocation

☐

Change of Correspondence Address

☐

Terminal Disclaimer

☐

Request for Refund

☐

CD, Number of CD(s) \_\_\_\_\_

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Landscape Table on CD

☐

After Allowance Communication to TC

☐

Appeal Communication to Board  
of Appeals and Interferences

☐

Appeal Communication to TC  
(Appeal Notice, Brief, Reply Brief)

☐

Proprietary Information

☐

Status Letter

☒

Other Enclosure(s) (please identify  
below):

Certificate of Correction

Remarks

Certificate  
NOV 01 2006  
of Correction

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

Michael P. Mazza, LLC

Signature

*Michael P. Mazza*

Printed name

Michael P. Mazza

Date

October 23, 2006

Reg. No.

34,092

### CERTIFICATE OF TRANSMISSION/MAILING

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**UNITED STATES PATENT AND TRADEMARK OFFICE  
CERTIFICATE OF CORRECTION**

Page 1 of 1

PATENT NO. : 7,117,177 B2  
APPLICATION NO.: 10/023,298  
ISSUE DATE : October 3, 2006  
INVENTOR(S) : Martin P. Madden

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Claim 1, Column 8, beginning at Line 45:

Error: whereby the value of the deposit liabilities base may be quantified without the need for divesting the deposit liabilities base from the financial institution, and also to allow the deposit liabilities base to be resold if desired.

Correct as follows: whereby the value of the deposit liabilities base is determined, permitting the deposit liabilities base to be sold if desired, but not thereby requiring divestiture of the deposit liabilities base from the financial institution.

Claim 6, Column 8, Line 65:

Error: sition preformed by a selling financial institution.

Correct as follows: sition performed by a selling financial institution.

Claim 8, Column 10, beginning at Line 6:

Error: whereby the value of the deposit liabilities base may be quantified without the need for divesting the deposit liabilities base from the financial institution, and also to allow the deposit liabilities base to be resold if desired.

Correct as follows: whereby the value of the deposit liabilities base is determined, permitting the deposit liabilities base to be sold if desired, but not thereby requiring divestiture of the deposit liabilities base from the financial institution.

**MAILING ADDRESS OF SENDER (Please do not use customer number below):**

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